



# Allen Marketing & Associates, Inc.

*Your Insurance & Financial Gatekeeper for the 21st Century*



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Founder/President

## 151A Update...

**SEC commits to new 2-Year  
implementation period  
January 2011 is no longer the deadline!**

**On December 8, 2009, the SEC filed a brief with  
the D.C. court of Appeals.**

In the SEC brief, the commission acquiesced to a 2-year stay for Rule 151A. After initially opposing it, the SEC has committed to a 2-year implementation period.

Although this is a positive development, we are disappointed that the SEC also indicated that it still may proceed with adoption of this rule.

We remain committed to fight Rule 151A because of the harm it will do to consumers and the negative economic impact it will have on jobs and capital formation.

Want more information? Call Pat at:

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